



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/082,923	02/26/2002	Yoshiki Yamauchi	73620

22242  
FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 60603-3406



CONFIRMATION NO. 4057  
FORMALITIES LETTER



\*OC000000007692857\*

Date Mailed: 03/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

J. Robinson

Customer Service Center

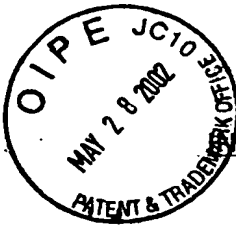
Initial Patent Examination Division (703) 308-1202

05/30/2002 MBIZUNES 00000051 061135 10082923 T 2 - COPY TO BE RETURNED WITH RESPONSE

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MP #3

PATENT

Attorney Docket No. 73620

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yamauchi et al.

Appln No.: 10/082,923

Filed: February 26, 2002

For: OPTICAL MULTIPLEXING  
CIRCUIT AND OPTICAL  
MULTIPLEXER

Group Art  
Unit: 3662

Examiner: Not Yet Assigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

5/22/02  
Date

Kenneth H. Samples

Kenneth H. Samples  
Registration No. 25,747  
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box **MISSING PARTS**

Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated 3/22/02, enclosed are:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ An executed Declaration for Patent Application, including Power of Attorney.
- ☒ A certified copy of Japanese Priority Document No. 2001-053053.
- ☐ \_\_\_\_\_ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal
- ☒ An Assignment of the invention to NTT ELECTRONICS CORPORATION and NIPPON TELEGRAPH AND TELEPHONE CORPORATION, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the fee for recording the assignment.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment. Charge \$ 40.00 to Deposit Account No. 06-1135. **Transaction No. 3876.**

☒ Fee Calculation:

Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	
Surcharge under 37 C.F.R. § 1.16(1)	\$ 50.00	
<input type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	
Independent Claims	$\frac{\quad}{\quad} - \frac{3}{\quad} = \frac{0}{\quad}$	$\times \$ 84.00 = \$ 0.00$
Total Claims	$\frac{\quad}{\quad} - \frac{20}{\quad} = \frac{0}{\quad}$	$\times \$ 18.00 = \$ 0.00$
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	\$ 130.00
<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	
Total Filing and/or Surcharge Fee		\$ 130.00

☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to: \$ 0.00

☐ A check in the amount of \$\_\_\_\_\_ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ 130.00 to Deposit Account No. 06-1135. **Transaction No. 3875.**

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

May 22, 2002  
Date

Kenneth H. Samples  
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Registration No. 25, 747

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